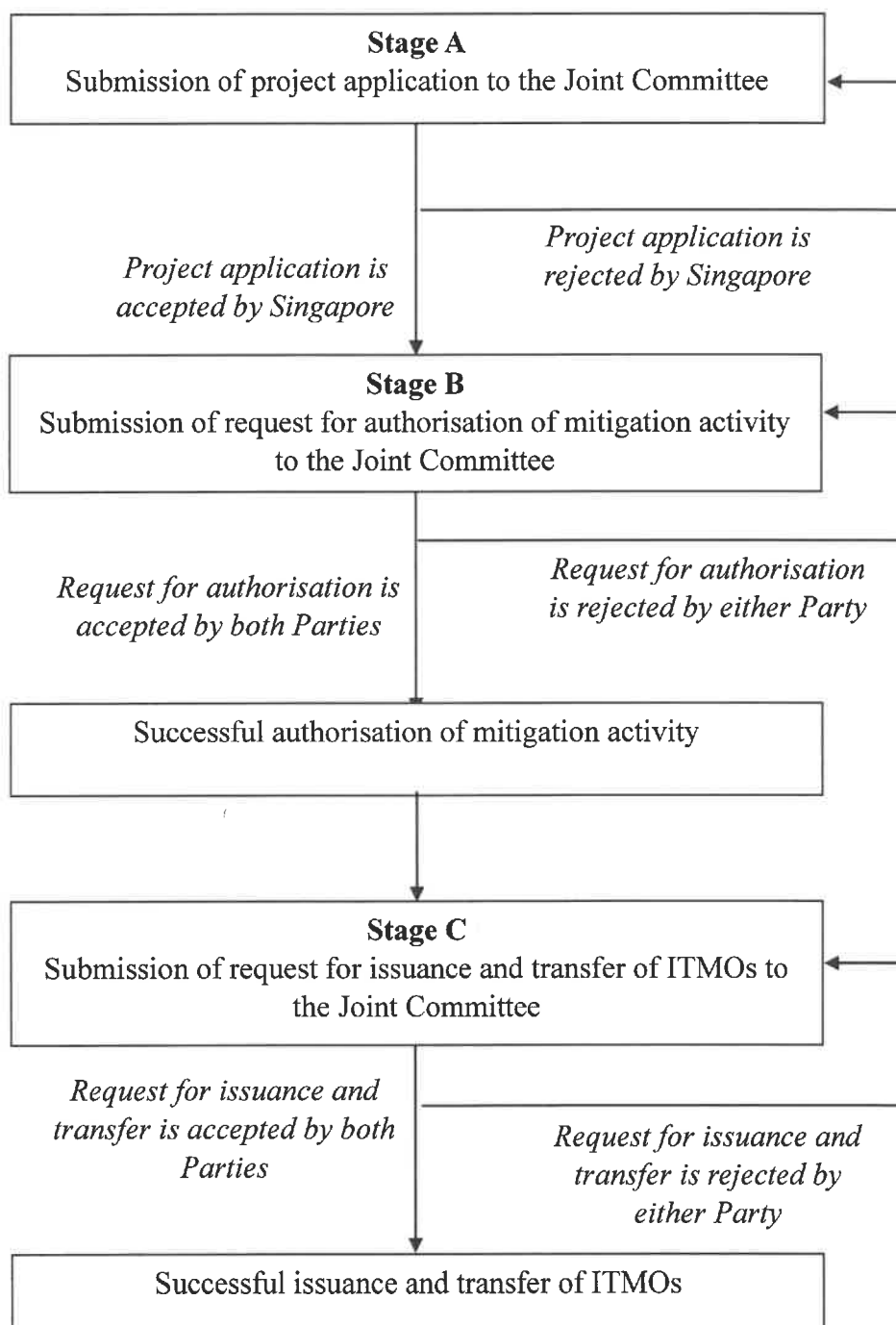


**ANNEX A: PROCESSES FOR THE AUTHORISATION OF MITIGATION
ACTIVITIES, AND THE ISSUANCE AND TRANSFER OF ITMOs**

1. This Annex sets out the processes relating to:
 - (a) the authorisation of mitigation activities and authorisation of mitigation outcomes generated by the authorised mitigation activities, pursuant to Article 4 (Joint Authorisation of Mitigation Activities and the Republic of Peru's Authorisation of Mitigation Outcomes); and
 - (b) the issuance of mitigation outcomes from authorised mitigation activities and the transfer of the ITMOs, pursuant to Article 8 (Issuance and Transfer of ITMOs).
2. The flow-chart in this Annex outlines the relevant processes. The table below sets out the detailed actions to be taken by the project applicant, the Joint Committee, the Republic of Singapore ("Singapore") and the Republic of Peru ("Peru") respectively, indicative timelines for such actions, and the required documents to be submitted.
3. The Parties shall publish the relevant forms and templates in accordance with Article 12 (Transparency).
4. The Parties shall regularly update the Joint Committee on applications and submissions received from project applicant and project participants, as well as on the progress of the Parties' assessments.
5. The Parties have designated the following contact points for all communication concerning the processes under this Annex:
 - (a) For Singapore: National Environment Agency
 - (b) For Peru: Ministry of Environment
 - (c) For the Joint Committee:
Singapore – National Environment Agency
Peru – Ministry of Environment

Annex A-I: Flow-chart



Annex A-II: Table

Step no.	Action by project applicant	Action by Joint Committee	Action by Peru	Action by Singapore
Stage A: Submission and processing of project application				
A1	<p>The project applicant shall submit the following documents (collectively referred to as the “Project Application”) to the Joint Committee in both English and Spanish:</p> <ul style="list-style-type: none"> a. a completed Singapore-Peru Mitigation Activity Note of Intent; and b. any other supporting documents as required by Singapore and published in accordance with Article 12 (Transparency). 			
A2		<p>The Joint Committee shall:</p> <ul style="list-style-type: none"> a. issue the project applicant with a unique registration number for the Project Application; and b. forward the Project Application to the Parties. <p><i>(Within three working days from step A1)</i></p>		

Step no.	Action by project applicant	Action by Joint Committee	Action by Peru	Action by Singapore
A3				<p>Singapore shall assess if the Project Application meets the requirements under:</p> <ul style="list-style-type: none"> a. the list of carbon crediting programmes and methodologies decided upon and maintained by the Joint Committee pursuant to Article 5 (Environmental Integrity). b. the criteria for project applicants as published by Singapore; and c. the applicable domestic laws, regulations and administrative framework of Singapore.¹ <p>If Singapore assesses that the Project Application meets the said</p>

¹ Singapore's assessment that the Project Application meets Singapore's requirements does not mean that Singapore will accept the surrender of carbon credits issued in relation to the mitigation activity, in lieu of carbon tax. There may be further or different requirements under Singapore law for the surrender of carbon credits in lieu of carbon tax.

Step no.	Action by project applicant	Action by Joint Committee	Action by Peru	Action by Singapore
				<p>requirements, it shall issue a Letter of Support and forward the same to the Joint Committee.</p> <p>If Singapore assesses that the Project Application does not meet the said requirements, it shall notify the Joint Committee of its negative assessment and the reasons for its assessment.</p> <p><i>(Within ten working days from step A2)</i></p>
A4		<p>The Joint Committee shall:</p> <ul style="list-style-type: none"> a. upon receipt of the Letter of Support, forward the same to the project applicant; or b. upon being notified of a negative assessment by Singapore, inform the project applicant of the negative assessment and any remedial steps to be 		

Step no.	Action by project applicant	Action by Joint Committee	Action by Peru	Action by Singapore
		<p>taken in order to re-submit the Project Application in line with step A1.</p> <p><i>(Within three working days from step A3)</i></p>		
Stage B: Submission and processing of request for authorisation of mitigation activity				
B1	<p>The project applicant shall submit the following documents (collectively referred to as the “Request for Authorisation”) to both Parties and the Joint Committee in both English and Spanish:</p> <ul style="list-style-type: none"> a. a project design document in accordance with requirements of the carbon crediting programme used; b. a validation report in accordance with requirements of the carbon crediting programme used c. a completed Singapore-Peru Authorisation Application Form; and any other supporting documents as required by either Party and published in accordance with Article 12 (Transparency). 			
B2	If either Party requests clarification or additional information in relation to the		Peru shall assess if the Request for Authorisation	Singapore shall assess if the Request for

Step no.	Action by project applicant	Action by Joint Committee	Action by Peru	Action by Singapore
	Request for Authorisation, the project applicant shall submit its responses and/or said additional information simultaneously to both Parties and the Joint Committee in both English and Spanish.		<p>meets the requirements under the applicable domestic laws, regulations and administrative framework of Peru.</p> <p>If Peru assesses that the Request for Authorisation meets the said requirements, it shall issue a Letter of Authorisation and forward the same to the Joint Committee.</p> <p>If Peru assesses that the Request for Authorisation does not meet the said requirements, it shall notify the Joint Committee of its negative assessment and the reasons for its assessment.</p> <p><i>(Within thirty working days, as prescribed in applicable</i></p>	<p>Authorisation meets the requirements under:</p> <ol style="list-style-type: none"> the Letter of Support; and the applicable domestic laws, regulations and administrative framework of Singapore.² <p>If Singapore assesses that the Request for Authorisation meets the said requirements, it shall issue a Letter of Authorisation and forward the same to the Joint Committee.</p> <p>If Singapore assesses that the Request for Authorisation does not meet the said requirements, it shall notify the Joint Committee of its negative assessment and the reasons for its assessment.</p>

Step no.	Action by project applicant	Action by Joint Committee	Action by Peru	Action by Singapore
			<i>domestic laws and regulations, from step B1³)</i>	<i>(Within ten working days of the completion of Peru's assessment from step B2)</i>
B3		<p>Upon receipt of the Letters of Authorisation from both Parties, the Joint Committee shall:</p> <ul style="list-style-type: none"> a. forward the said Letters to the project applicant; b. record the mitigation activity in the database of authorised mitigation activities under this Agreement which is maintained by the Joint Committee; c. submit the Initial Report(s) in accordance with Article 11 (Reporting); and 		

² Singapore's assessment that the Request for Authorisation meets Singapore's requirements does not mean that Singapore will accept the surrender of carbon credits issued in relation to the mitigation activity, in lieu of carbon tax. There may be further or different requirements under Singapore law for the surrender of carbon credits in lieu of carbon tax.

³ Where Peru requires clarifications or additional information for its assessment of the Request for Authorisation, the duration taken for the project applicant to respond with the clarification or additional information shall not count towards the thirty working days.

Step no.	Action by project applicant	Action by Joint Committee	Action by Peru	Action by Singapore
		<p>d. upon submission of the Initial Report(s) in accordance with Article 11 (Reporting), issue a Joint Statement of Authorisation to the project applicant and publish the same in line with Article 12 (Transparency).</p> <p>Upon being notified of a negative assessment by either Party, the Joint Committee shall inform the project applicant of the negative assessment and the remedial steps to be taken in order to re-submit the Request for Authorisation in line with step B1.</p> <p><i>(Within three working days from step B2)</i></p>		
B4	Once the mitigation activity has been authorised by the Joint Committee, the project applicant (now “ project participant ”) may proceed with			

Step no.	Action by project applicant	Action by Joint Committee	Action by Peru	Action by Singapore
	registration of the mitigation activity with the carbon crediting programme specified in the Letters of Authorisation and a copy of the Joint Statement of Authorisation.			
B5	Once the mitigation activity has been successfully registered under the relevant carbon crediting programme, the project participant shall inform the Joint Committee of this registration, and may proceed with the implementation of the mitigation activity.			
B6		<p>The Joint Committee shall inform Singapore and Peru of the successful registration of the mitigation activity under the relevant carbon crediting programme.</p> <p><i>(Within three working days from step B5)</i></p>		

Step no.	Action by project participant	Action by Joint Committee	Action by Peru	Action by Singapore
Stage C: ITMOs issuance and corresponding adjustment				
C1	<p>The project participant shall submit the following documents (collectively referred to as the “ITMO Issuance Application”) to both Parties and the Joint Committee in both English and Spanish:</p> <ul style="list-style-type: none"> a. proof of issuance of the mitigation outcomes from the relevant carbon crediting programme; b. the completed Singapore-Peru Issuance Application Form; and c. any other supporting documents as required by either Party and published in accordance with Article 12 (Transparency). 			

Step no.	Action by project participant	Action by Joint Committee	Action by Peru	Action by Singapore
C2	If Peru requests clarification or additional information in relation to the ITMO Issuance Application, the project participant shall submit its responses and/or said additional information simultaneously to both Parties and the Joint Committee in both English and Spanish.		<p>Peru shall assess if the ITMO Issuance Application meets the requirements under:</p> <ul style="list-style-type: none"> a. Peru's Letter of Authorisation; and b. the applicable domestic laws, regulations and administrative framework of Peru. <p>If Peru assesses that the ITMO Issuance Application meets the said requirements, it shall inform the Joint Committee of its positive assessment.</p> <p>If Peru assesses that the ITMO Issuance Application does not meet the said requirements, it shall notify the Joint Committee of its negative assessment and the reasons for its assessment.</p> <p><i>(Within thirty working days, as prescribed in applicable domestic laws and regulations, from step C1⁴)</i></p>	

Step no.	Action by project participant	Action by Joint Committee	Action by Peru	Action by Singapore
C3		<p>Upon being informed of Peru's positive assessment, the Joint Committee shall inform Singapore of the positive assessment, and forward the ITMO Issuance Application, as well as any related submissions by the project participant, to Singapore.</p> <p>Upon being notified of a negative assessment by Peru, the Joint Committee shall inform the project applicant of the negative assessment and the remedial steps to be taken in order to re-submit the Request for Authorisation in line with step C1.</p> <p><i>(Within three working days from step C2)</i></p>		

⁴ Where Peru requires clarifications or additional information for its assessment of the ITMO Issuance Application, the duration taken for the project participant to respond with the clarification or additional information shall not count towards the thirty working days

C4				<p>Upon being informed of Peru's positive assessment and receiving the ITMO Issuance Application and related submissions, Singapore shall assess if the ITMO Issuance Application meets the requirements under:</p> <ul style="list-style-type: none"> a. Singapore's Letter of Authorisation; and b. the applicable domestic laws, regulations and administrative framework of Singapore.⁵ <p>If Singapore assesses that the ITMO Issuance Application meets the said requirements, it shall inform the Joint Committee of its positive assessment.</p> <p>If Singapore assesses that the ITMO Issuance Application does not meet the said requirements, it shall notify the Joint Committee of its negative assessment and the reasons for its assessment.</p>
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Step no.	Action by project participant	Action by Joint Committee	Action by Peru	Action by Singapore
				<i>(Within ten working days from step C3)</i>
C5		<p>The Joint Committee shall:</p> <ul style="list-style-type: none"> a. upon being notified of positive assessments by both Parties, inform Peru to proceed with ITMO issuance; or b. upon being notified of a negative assessment by Singapore, inform the project participant of the negative assessment and remedial steps to be taken in order to re-submit the ITMO Issuance Application in line with step C1. <p><i>(Within three working days from step C4)</i></p>		

⁵ Singapore's assessment that the ITMO Issuance Application meets Singapore's requirements does not mean that Singapore will accept the surrender of carbon credits issued in relation to the mitigation activity, in lieu of carbon tax. There are further requirements under Singapore law for the surrender of carbon credits in lieu of carbon tax.

Step no.	Action by project participant	Action by Joint Committee	Action by Peru	Action by Singapore
C6			<p>If informed to proceed with ITMO issuance, Peru shall:</p> <ol style="list-style-type: none"> a. list the mitigation outcomes in its registry established under Article 7 (Registry) of this Agreement (the “HC Registry”); b. authorise, and apply corresponding adjustments for, the mitigation outcomes listed on the HC Registry, which shall constitute the first transfer; and c. issue a Letter of Positive Examination specifying the issuance of ITMOs, and forward the same to the Joint Committee. <p><i>(Within ten working days from step C5)</i></p>	

Step no.	Action by project participant	Action by Joint Committee	Action by Peru	Action by Singapore
C7		<p>Upon receipt of the Letter of Positive Examination, the Joint Committee shall forward the same to the project participant.</p> <p><i>(Within three working days from step C6)</i></p>		